

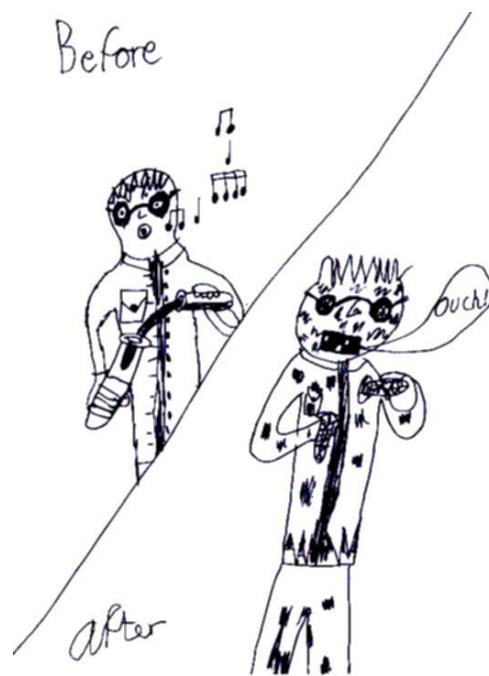
# **The new Engineer and the old Philosopher Hedgehog or Fox? (Engineering the Future)**

Raffaella Ocone  
Chemical Engineering  
School of Engineering and Physical Sciences  
Heriot-Watt University, Edinburgh

# The Engineer

*“Scientists discover the world that exists; engineers create the world that never was”*

Theodore von Karman, Aerospace Engineer



# Fox or Hedgehog?



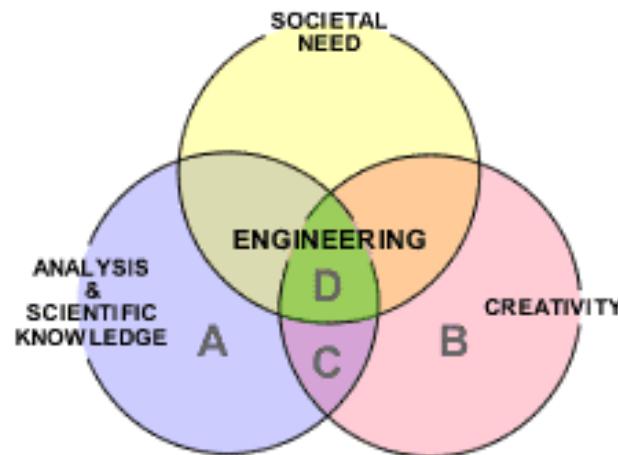
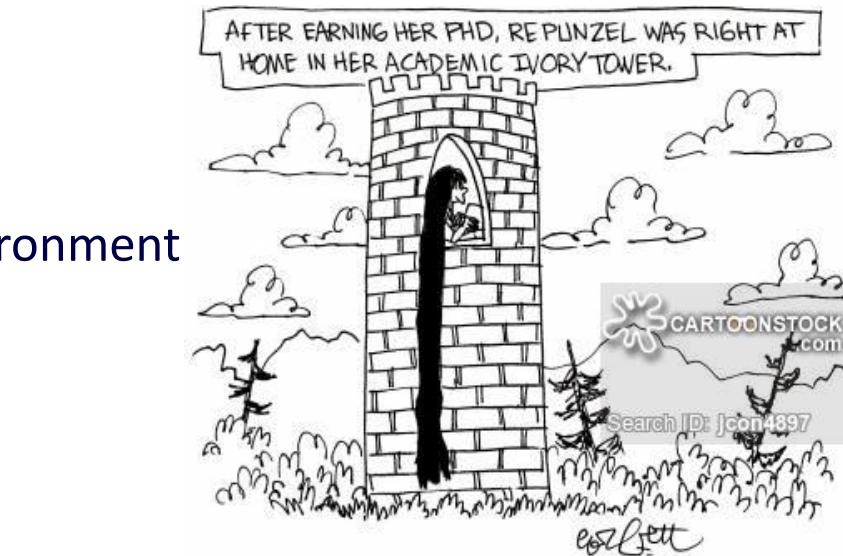
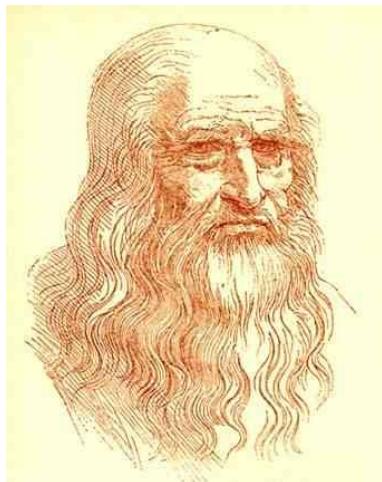
*“The fox knows many things; the hedgehog knows one big thing.”*

—Archilochus 8<sup>th</sup> Century BC

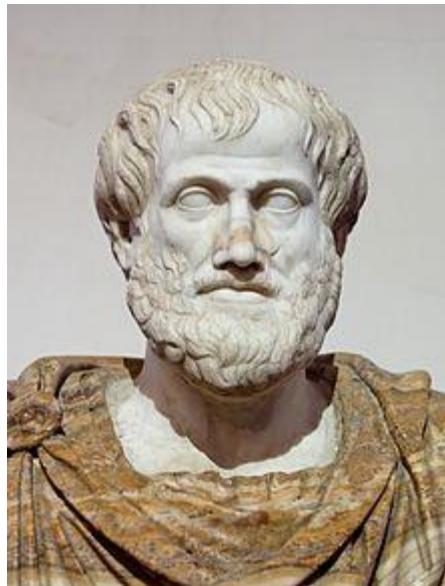
# It is not all about engineering

## Ethics in Engineering

- Interest in society and the environment



# The “Ancient” Philosopher



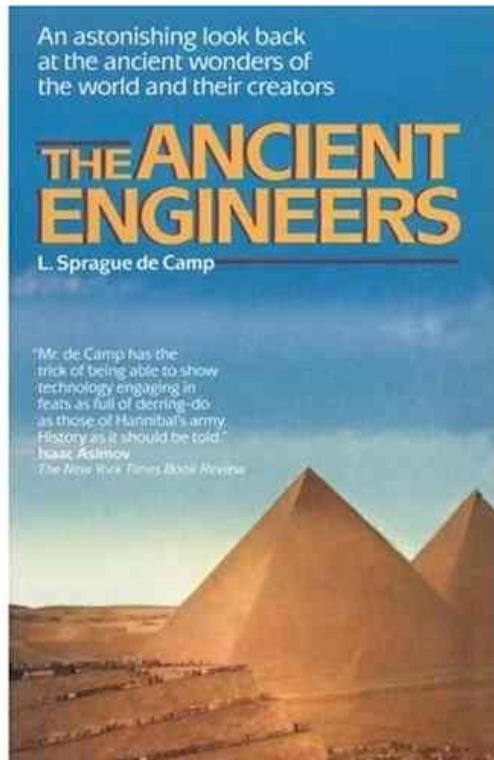
Ethics is “**practical wisdom**” (*phronesis* in Greek)

Gaining “practical wisdom” requires acquiring practical experience

... young people become accomplished in geometry and mathematics ...  
**prudent** young people do not seem to be found

**Prudence** is concerned with particulars as well as universals

# The “Ancient” Engineer



“Civilisation is a matter of power over the world of nature and skill in exploiting this world. It has nothing to do with kindness, honesty, or peacefulness.”

“No doubt it would be a good thing if they were universal, but the engineer is not the man to ask this of.”

“**He can heat your house, dam your river, or build your space ship, but it is hardly fair to expect him also to make you love your fellow man.**”

# Why does Engineering need Ethics?

**Engineering as a practical and creative art** has two aspects: doing and making, and the human and social context in which this occurs

**The Code of Conduct** is an expression of professional ethics, however, we should be careful of not confusing ethics with blind conformance to rules –the aim is not to give students knowledge of one more tool (like maths or IT)

An important component of professional behaviour has to be **judgement of rules**, whether the rule is right in the specific circumstances and is it being applied with integrity

**Ethics is not an exact science**

# Learning about Ethics helps Students to:

- Be able to ***identify*** the ethical element of any decision
- ***Understand*** the nature of professional and personal responsibility
- Be able to ***address*** problems arising from questionable practice using appropriate tools
- Develop critical thinking skills and ***judgment***
- ***Understand*** practical difficulties and use suitable approaches and techniques to help people produce better outcomes
- ***Develop*** an ethical identity to carry forward to their working life

# Teaching Approaches

- A number of ways to teach Ethics in Engineering have been proposed (Davis, 1999, reports eight different ways)
- However, they could all be reduced to two main broad routes:
  - Devise and give a specific module on Ethics
  - Integrate Ethics into the curriculum

# The Integrated Approach

## ➤ **Advantages:**

- Opportunity for the students to see “Ethics into action”
- Ethics is presented as intrinsic in the discipline; it demonstrates as Engineering is an ethical profession in its essence.

# Ethics in Engineering Degrees

- **Teaching** – who? how? when...
- **Assessing** – how? when?...
- **Accrediting** – who? how?...

**LEARNING OUTCOMES**



**Educate the Educators & the Assessors**

*R Ocone, "Ethics and accreditation"*

*Education for Chemical Engineers 8 (2013) e113–e118*

# The Curriculum Map

1. LOCATION			2. LEARNING OUTCOMES	3. CONTENT	4. PROCESS
Level	Focus	Points of Delivery	Students should be able to:	Topics	Example Techniques
1	Awareness of issues, obligations and responsibilities; sensitising students to ethical issues	Induction	1. give examples of ethical issues related to engineering; 2. recognise ethical responsibilities of engineers; 3. describe in outline an ethical framework for engineering.	Professionalism; codes of conduct; obligations to the public	Interactive small group sessions during student induction; developing case studies from newspaper or magazine articles.
		Modules		Duty of care; trust; introduction to applied ethics; ethical dimensions to engineering problem solving Introduction to a theoretical ethical framework based on deontology (duty), rights, utilitarianism, autonomy/consent and virtues (this topic could be introduced at Level 2)	Identify existing modules which can be modified to establish a clear ethical focus for the engineering programme. Each module will have illustrations, topics and exercises covering key ethical issues. Introductory modules to engineering would be ideal starting points.
2	Resolving practical problems; enabling students to identify ethical issues and to examine and weigh up opposing arguments	Modules	1. identify ethical issues related to an engineering situation; 2. suggest ways to deal with ethical issues in engineering; 3. illustrate the ethical dimension of practical engineering	Ethical cases in engineering; developed study of the ethical framework introduced at Level 1	Existing modules can be modified to include topics and exercises which address ethical issues from a practical standpoint. Give an ethical angle to traditional engineering exercises. Encourage group work and use familiar, non-specific engineering situations by way of introduction, such as plagiarism and negotiation.
		Placement preparation		Professional practice of oneself and others; differentiating between good and bad employers.	Set up role-playing scenarios and debates between students; run intensive workshops for placement preparation.
3	Reflection and critique of ethical issues; consolidation of ethics skills and practice; specialist study	Design Project	1. undertake an ethical audit; 2. discuss ethical dilemmas in engineering; 3. justify an ethical stance.	Ethics audit of final year project	Self study and application to a student led project.
		Core Modules		Ethically ambiguous scenarios	Challenge students to defend their actions from an ethical standpoint by holding group debates.
		Ethics-specific optional modules		Philosophy of engineering; further ethical theory; engineering ethics and environmental ethics	Present case studies and dilemmas and give students practice in solving morally ambiguous scenarios. Encourage analysis, synthesis and report back of ethical issues.
4	Further reflection and critique of ethical issues; specialist study	Research-oriented module on ethics	1. articulate ethical problems in engineering; 2. reach an ethically justified or morally reasoned practical solution to an ethical problem with an appropriate plan of action; 3. propose policy relating to ethical questions in engineering	Research principles and ethics; risks and benefits of novel technologies; broader context of engineering; business ethics; corporate social responsibility	Present case studies and dilemmas and give students practice in solving morally ambiguous scenarios. Encourage written analysis and reports.

# Anatomy of the Map

- The ***Location*** explains the focus appropriate for each level and indicates where ethics may be usefully introduced at that level
- ***Learning Outcomes*** illustrate the expected learning outcomes at each level
- ***Content*** indicates possible ethics topics that might be relevant for students at each level
- ***Process*** gives examples of teaching methods that are suited to teaching ethics at each level

# Identifying Topics

- Look for issues that appear in codes of conduct
- Teach good ethical behaviour as well as disasters
- Raise ethical issues in design projects and encourage students to look for them
- Draw on your own experiences and decisions

# Engineering Ethics Topics

- **Sustainability:** duty to the environment and future generation
- **Cultural diversity** and international engineering projects
- The **engineering profession** and its relation to society
- The positive contribution engineers make to **society** – looking at case studies with ‘happy endings’

# Technology

## Some questions

- What is “technology”?
- Does “technology” need classification?
- When can a technology be considered “entrenched”?
- Which kind of *intervention* is required in the development of a new technology?
- ....

# Technological Innovation

- Research
- Development
- Production
- Marketing
- Diffusion into society



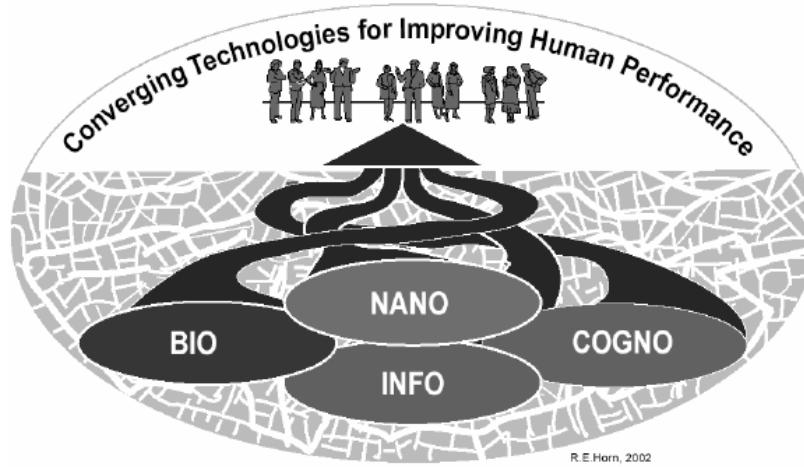
All stages have been accomplished  
→ **Fully Developed (Entrenched) Technology**

Products, uses, regulations, and social impacts  
are already in existence

# 21<sup>st</sup> Century (my personal analysis)

- **1<sup>st</sup> Decade**
  - "Converging Technologies for Improving Human Performance" (CTIHP) (2002)
    - Nanotechnology
    - Biotechnology
    - Information Technology
    - Cognitive Science
- **2<sup>nd</sup> Decade**
  - Sustainable Development Goals
  - Emerging Technologies
- **3<sup>rd</sup> Decade** (and beyond)
  - Responsible Technologies
    - (Transhumanism?)

# "Converging Technologies for Improving Human Performance" (CTIHP)



*Changing the societal “fabric” towards a new structure  
(upper figure by R.E. Horn)*

- Those technologies are at different stage of their technological development (in some case still emerging)
- They can imply substantial modification of both the world (environment) and of the human being

# Engineering vs. Philosophy

- **Analysis** (the “new” philosopher)
  - Understanding an ethical problem or an ethical theory and dispassionately comparing the application of different theoretical approaches
- **Synthesis** (the engineer)
  - Finding “*solutions*” to ethical problems (the best course of action)

The engineer is embedded in the process,  
prepared for dealing with specific kinds of ethical problems  
that will arise in real practical situations

**Ethics in the context of making a practical decision**

# Engineering vs. Medicine

- **Medics**
  - One-to-one relationship –responsibility to an individual patient or client (usually)
- **Engineers**
  - Human relationships less direct and immediate
  - Long-term and distributed-impact decisions



**Ethical problems harder to detect and  
best course of action  
more difficult to identify**

**Ethics is doing the right thing**  
(even when no one is looking)

**BUT**

How do we “define” the *right thing*??  
How do we know what *is right*?



**Ethical Debate**

# Questions & Challenges

- What is ‘ethics’ and is it relevant to me / my job?
- Isn’t ethics common sense?
- Why should I be ethical?
  - It’s the right thing to do?
  - It’s good for business?
  - It’s my duty as a professional?
- What is the relation between ‘ethics’, values / principles, and codes of conduct?
- Who provides professional ethics training, and why?

# The Relevance of Ethics

- **To society?**

- Many technical issues have ethical implications
  - *Is nuclear power the answer to energy crisis?*
  - Should we destroy fragile environments to extract scarce resources?
  - .....
  - .....

- **To me?**

- Tell your view....

# **Statements of Ethical Principles**

(expressing the beliefs and values of the engineering profession)

- Accuracy and Rigour
- Honesty and Integrity
- Respect for Life, Law and Public Good
- Responsible Leadership



**Those principles are now included in the IChemE  
accreditation guidelines**

# Ethical Consideration: *a posteriori* approach

- Ethics is about Synthesis
- Finding “*solutions*” to ethical problems (the best course of action)
- Making use of previous “data”

The engineer is embedded in the process  
being prepared for dealing with specific kinds of ethical problems  
that will arise in real practical situations

They evaluate and direct a greater set of existing phenomena

**Ethics in the context of making a practical decision**

The ethics of entrenched technologies can lead to better informed  
ethical evaluations

# Ethical Consideration: *a priori* approach

- Ethics is about Synthesis
- Finding “*solutions*” to ethical problems (the best course of action)
- Making use of *speculative* “data”

Based on research and development of the technologies, redirection possible on the basis ethical assessments

Ethics can help at the development stage of the technology, however the limitation is making use of speculative data

# Artificial Intelligence

- A *pervasive, enabling technology* → its consequences will affect various areas and disciplines, the environment, the human being... chemical engineering is not immune to its consequences
- Already widely in use

**Do we understand AI and its implications?**

If handled carefully, AI could bring excellent opportunities for the wealth and security of our society

**HOWEVER**

**IT IS ALREADY HERE** (and the totality of ethical issues associated with it are not completely understood and tackled)  
**REGULATION** still in need

# Responsible of Emerging Technologies

- **To society?**
  - Many technical issues have ethical implications
    - *Intervene as soon as possible, using data, real and speculative scenarios..*
- **To me (as a professional)?**
  - **Work with uncertainty** –not new for engineers, but the context is completely new
  - Should we use a “risk-assessment” route? How do we manage the risk?

# SUSTAINABLE DEVELOPMENT GOALS

**1** NO POVERTY



**2** ZERO HUNGER



**3** GOOD HEALTH AND WELL-BEING



**4** QUALITY EDUCATION



**5** GENDER EQUALITY



**6** CLEAN WATER AND SANITATION



**7** AFFORDABLE AND CLEAN ENERGY



**8** DECENT WORK AND ECONOMIC GROWTH



**9** INDUSTRY, INNOVATION AND INFRASTRUCTURE



**10** REDUCED INEQUALITIES



**11** SUSTAINABLE CITIES AND COMMUNITIES



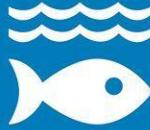
**12** RESPONSIBLE CONSUMPTION AND PRODUCTION



**13** CLIMATE ACTION



**14** LIFE BELOW WATER



**15** LIFE ON LAND



**16** PEACE, JUSTICE AND STRONG INSTITUTIONS



**17** PARTNERSHIPS FOR THE GOALS

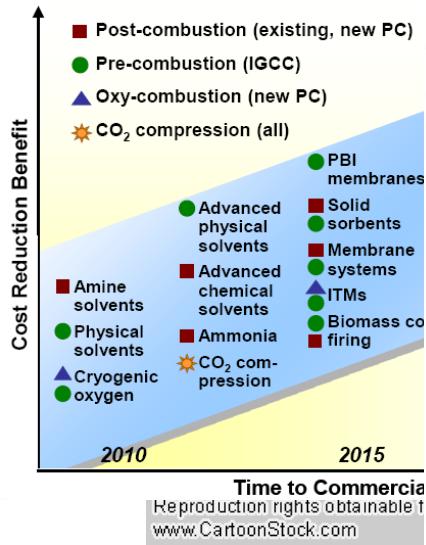


# The Challenges of the 3<sup>rd</sup> Decade

- Climate Change
- Energy security
- AI
- Cobots
- Quantum Computers
- Extended reality
- ...

**COLLABORATION & SYSTEM APPROACH  
WORK WITH POLICY MAKERS  
DIVERSITY  
TRAINING**

# A Technological

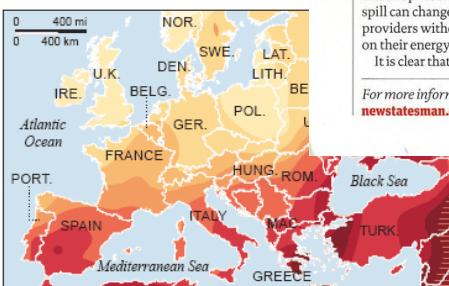


# Ethical Fix

## Oppressive heat settles in Europe

Officials warned citizens, especially the elderly, drink plenty of water during the summer's second heat wave.

Temperature, Wednesday, 10 a.m. EDT  
80 F 70 60  
15 C 21 15



SOURCE: Weather Underground



# Perspectives on Energy

*What is the future of consumer energy demand?*

## Changing behaviours

Governments, activists and scientists are united on one fact: consumer behaviour is key to creating energy efficiency. But studies have found that only about 20 per cent of people are willing to change their behaviour. How can the other 80 per cent be engaged?

When policymakers first launched energy efficiency campaigns around 30 years ago, they focused on educating consumers and encouraging them to cut back. Over the years, this has been refined, with standards for energy-efficient appliances and new buildings introduced. Yet, despite such moves, energy consumption continues to grow in both absolute and per capita terms.

A big problem is getting people who already lead busy lives to change their behaviour. In these straitened times, a large

**Telling people they consume more energy than their neighbours makes them more likely to take notice**

group of consumers is struggling financially and does not think about the future.

In such circumstances, obligatory higher prices are not an attractive way of getting people to change their habits. Moreover, studies show that hikes must be substantial before the average consumer starts to notice. The focus is now shifting to alternative methods, including peer pressure.

Psychological studies have shown that people are influenced by comparison. Thus, telling people that their energy consumption is higher than their neighbours' makes them more likely to take notice and make a change.

Psychological methods of this sort address the basic problem that it is difficult to get people to engage. With something as invisible as gas or electricity, most consumers do not make the direct connection between their personal energy usage and the distant spectre of climate change. Thus, huge catastrophes such as the Gulf of Mexico oil spill can change public perceptions of energy providers without having a knock-on effect on their energy habits.

It is clear that there are big challenges ahead.



THE EXPERT

## "Behaviour doesn't happen in a vacuum"

*Dr Sarah Darby, deputy programme leader, Environmental Change Institute, Oxford University*

**Do most energy customers still need to be persuaded that cutting energy consumption is worth all the bother?**

They don't on the whole think in terms of cutting energy consumption, they think in terms of the way they live their lives. And if their bills are getting really high – and they are, of course, for a lot of people – then that's a worrying thing. They will start to be motivated when they begin trying to get those bills down.

**So the real motivation is when people realise they want to save money?**

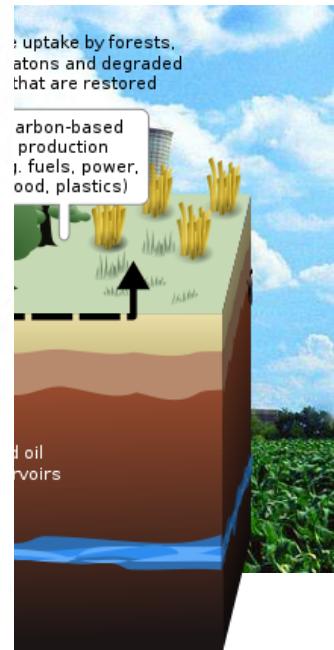
For a lot of people it is. For other people, they

may just be thinking about the way they live their lives and the impact they have on the environment. Motivations differ, but what is often missing is how those two link up.

**Perhaps we should simply encourage people to turn the lights off when they leave the room?**

Well, it certainly helps... but behaviour doesn't happen in a vacuum; behaviour happens in particular buildings in particular places.

From a transport point of view, for example, you can walk across the road to your village shop, or you may have to drive ten miles into the nearest town once that shop closes. If you



# Climate Change and AI

- **AI's relevance to help tackle and fight climate change is undisussed** (e.g., enable smarter decision-making for decarbonising industries and transportation; understand how to allocate renewable energy; etc.)

**HOWEVER**

- **Ethical concerns are become more and more relevant** and those are linked largely to the machine-learning technology:
  - Public surveillance
  - Intentional misuse of data
  - Privacy
  - Transparency
  - Data bias that can lead to discrimination and inequality

## Understanding artificial intelligence ethics and safety

# FAST Track Principles

### Fairness



All AI systems that process social or demographic data pertaining to features of human subjects must be designed to meet a minimum threshold of discriminatory non-harm. This entails that the datasets they use be equitable; that their model architectures only include reasonable features, processes, and analytical structures; that they do not have inequitable impact; and that they are implemented in an unbiased way.

# F

### Accountability



Accountability By Design: All AI systems must be designed to facilitate end-to-end answerability and auditability. This requires both responsible humans-in-the-loop across the entire design and implementation chain and activity monitoring protocols that enable end-to-end oversight and review.

### Sustainability



Designers and users of AI systems must remain aware that these technologies have transformative effects on individuals and society. They must thereby proceed with a continuous sensitivity to real-world impacts. They must also keep in mind that the technical sustainability of these systems depends on their safety; their accuracy, reliability, security, and robustness.

# S

### Transparency



Designers and implementers of AI systems must be able (1) to explain to affected stakeholders in everyday language how and why a model performed the way it did in a specific context and (2) to justify the ethical permissibility, the discriminatory non-harm, and the public trustworthiness both of its outcome and of the processes behind its design and use.



# Planning for Ethics Teaching

- All engineering teachers and curricula are different
  - no prescribed way of implementing the map
  - customise the map so ethics naturally accompanies the technical engineering
- Ethics provision should be regular but not necessarily frequent
  - ideally - engineering ethics will be a continuous thread woven through the course
- Ethics is easy to include
  - there are ethical issues relating to virtually all aspects of engineering

# Planning for Ethics Teaching

- **Ethics theme team**
  - a **multidisciplinary** team for planning and implementing ethics teaching
- **Champions**
  - people committed to bringing ethics into the curriculum
  - power brokers – e.g. Directors of Learning and Teaching
- **Outside help**
  - visiting professor committed to engineering ethics
  - experienced teacher of ethics

# Ways of teaching

- Look for ways to incorporate ethics into the existing curriculum – approach ethics laterally
- Use case studies and role play to illustrate and explore dilemmas
- Allow students to discover for themselves – through role play and debate
- Involve multidisciplinary teams, including **philosophy, sociology, politics**
- Invite practising engineers to speak – bring ethics to life
- Aim at developing skills, rather than teaching rules

# Multicultural student groups

- Students on engineering degrees often come from a variety of different cultures and backgrounds
- Consider how familiar students are with debating issues in the classroom – don't assume all students will easily engage in debate
- Take language into account in assessment – don't rely on long essays which may be challenging for overseas students
- Try to make assessment varied and fair for all students

# **Internationalisation of Engineering Degrees**

- The “challenge” of diverse cultures
- Morality vs Ethics –Individual vs Profession –Personal Standards vs Code of Conducts



## **Standards in Public Life**

# Assessing for ethics

- A variety of assessment methods is essential, and assessment should fit the nature of the module into which ethics is embedded
  - Online tests
  - Presentations
  - Essays
  - Ethical audits of projects
  - Peer assessment – student assessing each others' work

Try to assess whether students can spot ethical issues, as well as assessing how they would deal with them

# Questions

- How do we make sure that Ethics is our *modus operandi*?
- Should PEI take the lead?

- **Act** with competence
- **Comply** with the rules
- **Adhere** to the codes of conduct



Is compliance  
with professional  
codes sufficient?

- Should a “**Hippocratic Oath**” for Engineers be introduced?
  - Ethics as **awareness** of the social impact of engineering work
  - Development of “**involuntary**” ethics where the individuals live in immediate symbiosis and harmony with their community
  - **Ethics not just as a concept, but as an “action”** (i.e. acting in and for the society).

## Not a new idea

Ritual of the ***Calling of an Engineer*** (Canada, since 1922)  
***Leonardic Oath*** (Dreher, 2011)

# The “new” engineer will learn from the “ancient” philosopher

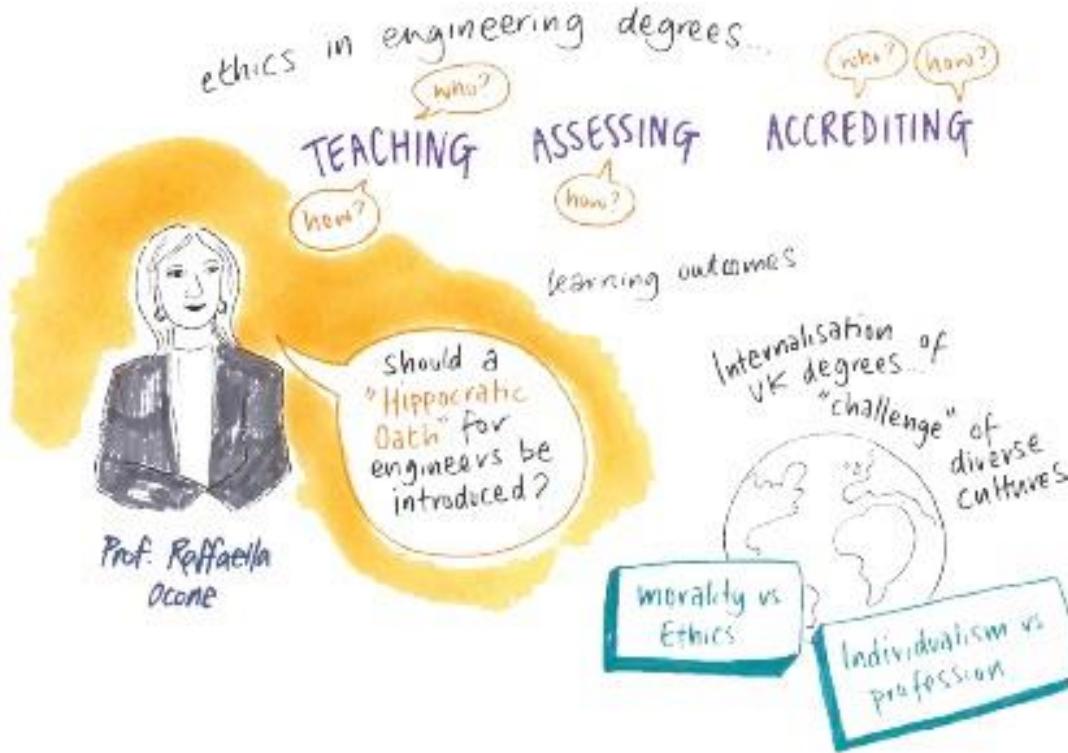
Ethics goes beyond the dilemma and the application of ethical principles

Ethics in engineering (technology) implies understanding the social impact of engineering work

The ancient Greek world as the era of “involuntary” ethics where the individuals lived in immediate symbiosis and harmony with their community

Nowadays, we tend to identify “culture” with “thinking”; classical philosophers did not consider philosophy as a purely intellectual activity – to them it was part and parcel of everyday life

**Ethics was not just a concept, but rather “action”, i.e. acting in and for the society**



Thank you!

